



05-25-06

Docket No. 8092/SYNX/SYNX/JW

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Mail Stop IAP69  
Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Re: Inventor(s): Michael R. Rice, Martin R. Elliott, Robert B. Lowrance, Jeffrey C. Hudgens and Eric A. Englhardt  
Title: OVERHEAD TRANSFER FLANGE AND SUPPORT FOR SUSPENDING A SUBSTRATE CARRIER  
Serial No.: 10/764,820  
Filed: January 26, 2004  
Examiner: Greenhut, Charles N.  
Group Art Unit: 3652

Transmitted herewith is:

- PTO Form 1449;  
 Information Disclosure Statement with six cited references; and  
 Return Postcard.

FEE CALCULATION					
Fee Items	Claims Filed	Included With Basic Fee	Extra Claims	Fee Rate	Total
Total Claims	N/A	- 20 =	-0-	X \$50.00	\$0.00
Independent Claims	N/A	- 3 =	-0-	X \$200.00	\$0.00
Basic Filing Fee (\$300.00), Utility Search Fee (\$500.00), Utility Examination Fee (\$200.00)					\$1000.00
<b>TOTAL FEES</b>					PAID

- The Commissioner is hereby authorized to charge \$180.00 to Deposit Account No. 04-1696.  
 The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 04-1696. A duplicate copy of this transmittal is enclosed.  
 Please address all future correspondence to: Customer # 41161  
Dugan & Dugan, PC  
55 South Broadway  
Tarrytown, NY 10591

I hereby certify that this correspondence is being deposited with the United States Postal Service as express mail in an envelope addressed to: Mail Stop Amendment, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Express Mail Receipt No. EV605114859US  
Date of Deposit: 5/23/06  
Signature:

Respectfully submitted,  
  
Brian M. Dugan  
Registration No. 41,720  
(914) 332-9081



Express Mail Label No. EV605114859US

PATENTS  
8092/SYNX/SYNX/JW

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s) : Michael R. Rice et al.

Serial No. : 10/764,820

Filed : January 26, 2004

For : OVERHEAD TRANSFER FLANGE AND SUPPORT FOR  
SUSPENDING A SUBSTRATE CARRIER

Group Art Unit : 3652

Customer No. : 41161

Mail Stop Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

Sir:

In accordance with 37 C.F.R. §§ 1.56 and 1.97,  
applicants wish to call the attention of the Examiner to the  
following references:

Foreign Art Reference No. EP 0663686 B1 (EPO)

Foreign Art Reference No. EP 0795892 A1 (EPO)

Weiss, Mitchell, "Evaluating 300-mm Fab Automation  
Technology Options and Selection Criteria", June 1997, MICRO,  
Vol. 15, No. 6, pages 65-66, 68, 70, 72, 74, 76, 78-79.

No-Author, "Equipe Helps Streamline 300 mm Wafer Processing", Dec. 1, 1997, Manufacturing Automation, Vol. 7, No. 3, page 1-5.

No-Author, "PRI Selected By Varian Semiconductor to Supply 300mm Integrated Front-End Buffering Solutions", Feb. 10, 2000, Newswire, Page 7434.

No-Author, "300mm Single-Wafer Handling" (Brief Article), Apr. 1, 2000, Solid State Technology, Vol. 43, No. 4, page 99.

Please charge deposit account No. 04-1696 in the amount of \$180.00 for consideration of this information disclosure statement under 37 C.F.R. § 1.97(c)(2).

These references are also listed on the accompanying Information Disclosure Statement (Form PTO-1449).

Consideration of the foregoing in relation to this patent application is respectfully requested.

Respectfully Submitted,



Brian M. Dugan, Esq.  
Registration No. 41,720  
Dugan & Dugan, PC  
Attorneys for Applicants  
(914) 332-9081

Dated: 5/23/06  
Tarrytown, New York

<p style="text-align: center;">U.S. Department of Commerce, Patent and Trademark Office</p> <p style="text-align: center;"><b>O P E R A T I O N S</b></p> <p style="text-align: center;">LIST OF RELEVANT ART CITED BY APPLICANT</p> <p style="text-align: center;">(Use several sheets if necessary)</p> <p style="text-align: center;">MAY 23 2006</p> <p style="text-align: center;">PATENT &amp; TRADEMARK OFFICE</p>				Docket No.: 8092/SYNX/SYNX/JW		Serial No.: 10/764,820		
				Applicants: Michael R. Rice et al.				
				Filing Date: January 26, 2004		Group: 3652		
<b>U.S. Patent Documents</b>								
*Examiner Initial		Document Number	Issue Date	Name	Class	Subclass	Filing Date If Appropriate	
	US-1							
	US-2							
	US-3							
	US-4							
	US-5							
	US-6							
	US-7							
	US-8							
	US-9							
	US-10							
	US-11							
<b>Foreign Patent Documents</b>							Translation	
		Document Number	Date	Country	Class	Subclass	Yes	No
	F-1	EP 0663686 B1	06/18/97	EPO			X	
	F-2	EP 0795892 A1	09/17/97	EPO			X	
	F-3							
	F-4							
	F-5							
<b>OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)</b>								
	OT-1	Weiss, Mitchell, "Evaluating 300-mm Fab Automation Technology Options and Selection Criteria", June 1997, MICRO, Vol. 15, No. 6, pages 65-66, 68, 70, 72, 74, 76, 78-79.						
	OT-2	No-Author, "Equipe Helps Streamline 300 mm Wafer Processing", Dec. 1, 1997, Manufacturing Automation, Vol. 7, No. 3, page 1-5.						
	OT-3	No-Author, "PRI Selected By Varian Semiconductor to Supply 300mm Integrated Front-End Buffering Solutions", Feb. 10, 2000, Newswire, Page 7434.						
Examiner		Date Considered						
<p><b>*EXAMINER:</b> Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with your communication to applicant.</p>								

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<b>U.S. Patent Documents</b>								
*Examiner Initial		Document Number	Issue Date	Name	Class	Subclass	Filing Date If Appropriate	
	US-12							
	US-13							
	US-14							
	US-15							
	US-16							
	US-17							
	US-18							
	US-19							
	US-20							
	US-21							
	US-22							
<b>Foreign Patent Documents</b>							Translation	
		Document Number	Date	Country	Class	Subclass	Yes	No
	F-6							
	F-7							
	F-8							
	F-9							
	F-10							
<b>OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)</b>								
	OT-4	No-Author, "300mm Single-Wafer Handling" (Brief Article), Apr. 1, 2000, Solid State Technology, Vol. 43, No. 4, page 99.						
	OT-5							
	OT-6							
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